

# **U.P. PROJECTS CORPORATION LIMITED**

GOMTI BARRAGE, LEFT BANK, GOMTI NAGAR,

LUCKNOW-226010

EOI-5/PCL (GMT)/QUALITY/ 2009-10



## **APPLICATION FOR EMPANELMENT OF QUALITY MANAGEMENT**

(DOCUMENTS)

Application invited w.e.f 11.10.09

**U.P. PROJECTS CORPORATION LIMITED**

(U.P. Govt. Undertaking)

**Gomti Barrage, Left Bank, Gomti Nagar, Lucknow -226010**

Phone:- +91522- 2308978, 2308946, 2308945

Fax:- +91522 2308242

Website:- [www.upprojects.org](http://www.upprojects.org)

To,

.....  
.....  
.....

**Subject:-** Issue of documents for Expression of Interest against EOI – 5/ PCL  
(GMT)/ QUALITY/2009-10

Reference –.....

Sir,

With reference to your above request letter please find enclosed herewith the documents of the Expression of Interest for Empanelment of Quality Management.

**Yours faithfully**

**(S.B. Pandey)**

**GENERAL MANAGER (Technical & Complaints)**

# **INDEX**

<b>CHAPTER</b>	<b>DESCRIPTION</b>	<b>PAGE NO.</b>
1	EXPRESSION OF INTEREST	1
2	PROFILE OF UP PROJECTS CORPORATION LTD.	2-5
3	INFORMATION AND INSTRUCTIONS TO APPLICANTS	6-13
4	FORWARDING LETTER FOR APPLICATION	14
5	APPLICATION FORM ALONG WITH ANNEXURES	15-19

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### **EXPRESSION OF INTEREST FOR EMPANELMENT OF QUALITY MANAGEMENT CONSULTANTS**

#### **EOI – 5/ PCL (GMT)/ QUALITY /2009-10**

**ATTENTION:** Quality Management Consultants

Proposals are invited in sealed cover from reputed Quality Management Consultants for Empanelment. The proposals shall be submitted in prescribed format w.e.f. 11.10.09 in the office of the undersigned.

The documents for the proposals can be purchased on payment of Processing Fee of Rs. 1000/- in cash or in the form of **Bank Draft** in favour of U.P. Projects Corporation Ltd., Lucknow from the office of the undersigned on any working day from 11-10-09 onwards. The documents can also be downloaded from the web site of the Corporation [www.upprojects.org](http://www.upprojects.org) and in such case the processing fee shall be submitted along-with the proposal. The proposal for Empanelment which fulfills the requisite criteria will be short-listed. The short-listed applicants shall be considered for award of consultancy from time to time. However, empanelment alone will not entitle the Consultants for award of any consultancy work.

U.P. Projects Corporation Ltd. reserves the right to cancel any or all proposals without assigning any reasons.

**GENERAL MANAGER (Technical & Complaints)**

# **U.P.PROJECTS CORPORATION LIMITED**

**Gomti Barrage, Left Bank , Gomti Nagar,Lucknow-226010**

## **PROFILE OF CORPORATION**

### **1. INTRODUCTION:**

U. P. PROJECTS CORPORATION LTD. (U.P.P.C.L.) is a U.P. Govt. undertaking, initially known as U.P. NALKOOPNIGAM Ltd., established in the year 1976. Later on, in view of utilization of infrastructure available in the Corporation it was decided to diversify its activities and started civil construction works - such as Buildings, Roads, Hydraulic Structures and works related to Rural Development. The Government of U.P has declared the Corporation as construction agency for Buildings, Roads, Hydraulic Structures, Canals, Dams, Aqua-ducts, Floods and drainage works etc.

### **2- ORGANISATIONAL STRUCTURE:**

The Corporation functions under the administrative control of Irrigation Department, Govt. of U.P. and is managed by the Board of Directors with Hon'ble Irrigation Minister U.P. as its Chairman. Other members of the Board are senior Govt. officers from various departments of U.P. Government as well as Government of India. The Organization is headed by the Managing Director who has technical expertise in execution of various projects.

At present there are 9 Zonal offices, 34 field units and 69 sub-units spread all over Uttar Pradesh and outside Uttar Pradesh having team of General Managers, Project Managers, Assistant. Project Managers, Junior Engineers, Supervisors of different engineering disciplines with sufficient technical experience.

**3. FINANCIAL POSITION:**

The authorized share capital is Rs. 700 lacs and paid-up capital is Rs. 640.00 lacs contributed by Uttar Pradesh Government and Central Government. The turnover for the year 2008-09 is Rs.586.00 Crore and expected turnover for the year 2009-10 is Rs. 1000.00 Crore. This Corporation is a profit making public sector undertaking.

**4. MAIN OBJECTIVES:**

The Corporation aims at procuring and executing works of residential and non residential Buildings, Roads, Hydraulic structures eg. Drains, Barrages, Aqua-ducts Industrial Buildings, Fabrication & Erection of plant and machinery, drilling of deep public and private Tube-Wells, laying of water distribution pipe lines and other civil and mechanical works. The Corporation also undertakes works on TURN-KEY BASIS (i.e. all activities starting from project formulation to completion & testing).

**5. OUR MOTTO:**

The Corporation accords top priority to QUALITY in construction besides TIME and ECONOMY by applying the latest State of Art, Technology and Equipments. A technical cell is functioning at the Corporate office for the quality control and to provide technical and design support as and when required by the field staff.

6. IMPORTANT WORKS :

**DETAILS OF IMPORTANT WORKS EXECUTED BY**  
**U.P.PROJECTS CORPORATION LIMITED**

(A) Executed Projects

SI No.	Projects Description	Cost
		(Rs.Crores)
1	Irrigation Department	
	(a) Flood works	122.00
	(b) Other Irrigation works	652.00
2	Health Department	426.00
3	Revenue Department	83.00
4	Metallic / C.C. Roads	503.00
5	Karragar Department	41.00
6	Animal Husbandry Department	29.00
7	Sports and Yuva Kalyan Department	29.00
8	Higher Education Department	23.00
9	Primary, Secondary & Higher Secondary Education Department	10.00
10	I.T.I Department	18.00
11	Home Department	13.00
	<b>Total</b>	<b>1949.00</b>

**(B) On Going Projects**

<b>Sl. No.</b>	<b>TYPE OF PROJECTS</b>	<b>Estimated Cost (Rs. Crores)</b>
1	Central Government Funded Integrated Housing and Slum Development Project in various Districts of U.P.	395.00
2	Community Health Centers, Primary Health Centers, Health Sub Centers, Blood Banks and other Health Department buildings.	265.00
3	Hospitals of E S I C ,Ministry of Labour, Govt of India in Bhuvneshwar, Cuttak and NOIDA.	263.00
4	Central Government Funded Village Secretariats etc in U.P	217.00
5	Hydraulic Structures like Dams, Aqueducts, Syphons, Super Passages, Pump Houses, etc. for Irrigation Department	65.00
6	Collectorate , Tehsil Buildings ( Residential and Non- residential), Training Centers and other Revenue Department buildings.	60.00
7	Highways, Roads and Bridges.	58.00
8	Misc. construction works of various Departments like Jail, Agriculture, Medical, Education, Tourism, Home etc..	60.00
9	Schools, Colleges, Offices and other buildings of Primary , Secondary and Higher Education Department	45.00
10	Animal Husbandry Department Hospitals	23.00
11	Flood Protection and Drainage Works in U.P	18.00
12	Sports Stadiums	13.00
13	Industrial Training Institutes.	11.00
14	Building Works of Transport Department	9.00
	<b>Total</b>	<b>1502.00</b>

## **Information and Instructions to Applicants**

### **1.0 GENERAL**

Proposals are invited in sealed cover for “Expression of Interest” from the Quality Management Consultants who are well experienced & fully conversant with the latest trend of Quality Management of Construction activities in all Infrastructure Projects such as Buildings, Roads, Bridges & Irrigation works etc. The empanelment of Consultants shall be in the following three categories:-

Category-A - For Construction Cost more than Rs 50 crores

Category-B - For Construction Cost Between Rs 10 Crores to Rs 50 crores

Category-C - For Construction Cost upto 10 Crores

Proposals are invited w.e.f 11.10.09 and it will be ongoing process till further notice. The offer must be on application form duly filled up along with Annexures followed by other additional informative details..

### **2.0 INSTRUCTIONS FOR FILLING UP FORM**

2.1 All information called for in the enclosed forms should be furnished against the relevant columns in the forms. If for any reason, information is furnished on separate sheet, this fact should be mentioned against the relevant columns. Even if no information is to be provided in a column, “Nil” or “no such case” entry should be made in that column. If any particulars/queries are not applicable in case of the applicant, it should be stated as “not applicable”. The applicants are cautioned that by not giving it in clear terms or making any change in the prescribed forms or deliberately suppressing the information may result for the applicant being summarily disqualified. Applications made by telegram or fax will not be entertained.

2.2 The application can be hand written or typed. The applicant should sign each page of the application.

2.3 Overwriting should be avoided. Correction, if any, should be made by neatly crossing out, initialing, dating and rewriting. Pages of the empanelment document should be numbered. Additional sheet, if any added by the applicant, should also be numbered by him.

- 2.4 References, informations and certificates from the respective clients certifying suitability, technical knowhow or capability of the applicant should be signed by an officer not below the rank of Executive Engineer or equivalent.
- 2.5 The applicant may furnish any additional information which he thinks is necessary to establish his capabilities to successfully complete the envisaged Quality Management work. He is, however, advised not to furnish superfluous information.
- 2.6 Any information furnished by the applicant found to be incorrect either immediately or at a later date, would render the consultant liable to be debarred from the panel and also award of any work in the U.P.P.C.L..
- 2.7 The empanelment document in prescribed form duly completed and signed should be submitted in a sealed cover super scribed with heading “Empanelment of **Quality Management Consultants.**” The duly filled in empanelment documents be received in the office of **GENERAL MANAGER** (Technical & Complaints) U.P.P.C.L, Gomti Barrage, Left Bank, Gomti Nagar, Lucknow-226010 U.P. from 11.10.2009. Documents submitted in connection with empanelment will be treated as confidential and will not be returned.

### **3.0 DEFINITIONS:**

In this document the words/expression have the meaning hereby assigned:

- 3.1 U.P.P.C.L : U.P. Projects Corporation Ltd. Lucknow.
- 3.2 Employer : Managing Director, U.P.P.C.L, Lucknow
- 3.3 Applicant : Means the individual, proprietary firm, firm in partnership, Pvt Ltd & Limited Company or Corporation or joint venture.
- 3.4 “Year” means “Financial Year”

### **4.0 METHOD OF APPLICATION:**

- 4.1 If the applicant is an individual, the application shall be signed by him above his full typed written name and current address.
- 4.2 If the applicant is the proprietary firm, the application shall be signed by the proprietor above his full type written name and full name of the firm with the current address.
- 4.3 If the applicant is a partnership firm, the application must be signed by all the partners of the firm above their full type written names and current addresses or alternatively by

a partner holding power of attorney for the firm. In the later case, the certified copy of power of attorney should be enclosed with the application. A certified copy of the partnership deed and current address of all the partners of the firm must accompany the application.

4.4 If the applicant is a private/public limited Company or Corporation, the application shall be signed by a duly authorized person holding power of attorney for signing the application, accompanied by a certified copy of power of attorney. The applicant should also furnish a copy of the Memorandum and Articles of Association duly attested by a public notary.

4.5 If the applicant is joint venture Company, authored signatory of participating companies should sign the application.

## **5.0 EVALUATION OF PROPOSAL**

The principle of evaluation will be experience and merit. The decision of the Corporation shall be final about eligibility for empanelment.

## **6.0 EMPANELMENT CRITERIA AND APPLICATION SUBMISSION FORMS**

6.1 Intending Consulting Applicants who are presently working for reputed Corporate entities, Institutions, Establishment etc. and having minimum experience of three years in the field of Quality Management Consultancy as mentioned in clause-5.0

### **Details to be Submitted on application form as Annexure-1**

6.2 Applicants should have sufficient technical setup for handling the project. For this category wise minimum requirement of Technical Staff for different categories is shown as below:- ( As a proof of employment TDS Certificates issued to Staff be enclosed with the application)

(In numbers)

Sl. No	Category	Civil Engineering Degree Holders	I.T.I / Diploma Holders
1	Category-A	15	50
2	Category-B	5	12
3	Category-C	2	6

**Details to be Submitted as Annexure-2**

6.3 The Average Annual Turn over from Consultancy fee for the last 3 Financial Years should not be less than as under,

(Figures -in Rs. Crore)

Sl. No.	Category	Average Annual Turn Over for the last 3 years
1	Category-A	5.00
2	Category-B	1.50
3	Category-C	0.50

**Details to be Submitted as Annexure-3**

6.4 The **minimum** consultancy fee received from a Single agreement in last three years shall not be less than as under,

(Figures -in Rs. Crore)

Sl. No.	Category	Consultancy fee received from a Single agreement in last three years
1	Category-A	1.00
2	Category-B	0.50
3	Category-C	0.25

**Details supported with certificates to be Submitted as Annexure-4**

6.5 The Construction Cost of the Single Project handled should not be less than as under,

(Figures -in Rs. Crore)

<b>Sl. No.</b>	<b>Category</b>	<b>Construction Cost of the Single Project handled in last three years</b>
1	Category-A	75
2	Category-B	40
3	Category-C	5

**Details supported with certifactes to be Submitted as Annexure-5.**

6.6 Preference will be given to entities having ISO Certifications & MSME registration.

6.7 The technical proposals received will be evaluated for different categories taking into consideration the experience, turnover and other prequalification parameters. In case of Joint-Ventures, Letter of Association from partners is absolutely essential so that their total experience in the relevant field can be taken into consideration for short-listing.

6.8 In Joint Venture a Group of Two will only be allowed. Both the partners should jointly possess the qualifying requirement of clause 6.2, 6.3 & 6.4 and any one of the partner should alone satisfy the condition of Clause 6.5 & 6.6. Each partner should possess at least 25% of the qualifying requirement of Clause 6.4 to 6.7  
Both the JV partners should individually satisfy the criteria of clause 6.8

## 7.0 SCOPE OF SERVICES

The purpose is to entrust the works of quality Control of different type of infrastructural projects to a Quality Management Consultants from the empanelled list, on the basis of their specific technical and financial capability for individual projects. The scope of the consultancy services envisaged for each project shall be all or any combination of following activities.

1. To conduct all quality tests in Lab duly approved by UPPCL
2. To prepare Quality Assurance Manual **for the project** to be approved by competent authority of UPPCL.
3. Visit proposed project site and take U.P.P.C.L. instructions, to comply with the specified requirements and in consultation with U.P.P.C.L. to ensure quality of the work.
4. To inspect periodically the building/work-site during execution at different stages of construction such as Plinth, Lintel & Slabs etc so as to ensure that the works are completed according to the approved specifications & drawings.
5. To ensure quality of all fixtures, plumbing, sanitary layouts and electrical drawings including provision of fire-fighting, air conditioning etc.
6. To carryout required tests on regular basis from beginning to finishing stage of work as per relevant IS Codes and Quality Assurance Manual.
7. To get the RCC works laid in the presence of Consultant's Engineer and suitable samples of concrete taken for testing for strength as per Indian Standard Code.
8. To recommend Contractor's Plants & Equipments, materials and methodology of work to the UPPCL.
9. To Prepare and submit the periodical report of the tests carried out and comprehensive report.
10. To bring to the knowledge of the Engineer-in-charge about deficiency in material, workmanship or any other quality aspect of the work immediately at the time of occurrence and UPPCL shall ensure its timely rectification.

## **8.0 ACTION FOR INEFFICIENT SERVICES**

If the consultant fails to perform the required duties as specified by UPPCL, suitable actions / reduction of rates shall be taken by the Competent Authority.

## **9.0 TERMINATION OF ASSIGNMENT**

In the event of unsatisfactory services by the consultant the competent authority shall terminate the consultancy agreement and consultant may also be debarred from getting future Consultancy assignment from the Corporation.

## **10.0 Transfer of interests**

The Consultants /Firms shall not assign, sub-let or transfer their interest without written consent of U.P.P.C.L.

## **11.0 FEE PAYABLE**

In consideration of the services to be rendered by the Consultants, U.P.P.C.L. shall pay a fee as agreed upon for the specific job.

## **12.0 PERIOD FOR EMPANELMENT**

The empanelment will be for a period of two years from the date of issue of certificate of empanelment. The empanelment shall be considered for renewal on application of the consultants at least one month prior to expiry of the empanelment.

## **13.0 VISIT TO SITE**

No travelling expenses shall be paid extra other than fee for the visits of sites to carry out inspection.

**14.0 JURISDICTION OF COURTS**

In all regular issues the decision of U.P.P.C.L. shall be binding on the Consultants. However if any reference to a Court of Law is required to settle a dispute, then courts in Lucknow alone will have the jurisdiction.

**15.0 SECURITY MONEY**

The Consultants have to submit security money according to the category they want to be empanelled as below in the form of FDR/ Bank Guarantee in favour of U.P. Projects Corporation Ltd. payable at Lucknow.

Category- A	-Rs 2.00 Lacs
Category- B	-Rs 1.00 Lacs
Category- C	-Rs 0.50 Lacs

The security money for unsuccessful Applicants for empanelment shall be returned within thirty days after the completion of empanelment process without any interest thereon.

**16.0 AFFIDAVIT ON STAMP PAPER:**

An affidavit on the stamp paper of Rs 100/- should be attached with the pre-qualification papers mentioning the fact that “The information furnished by me/us in this pre-qualification document is correct and true to the best of my/our knowledge and no information is false/wrongly stated or concealed in the application form and annexure.”

## FORWARDING LETTER FOR APPLICATION

To

The Managing Director,  
U.P. Projects Corporation Ltd  
Left Bank Gomti Barrage,  
Gomti Nagar, Lucknow-226010

Dear Sir,

Having examined the document for empanelment indicating scope of works etc I / We hereby am / are submitting our offer together with all the necessary information and relevant documents for empanelling me/us for the services detailed in the documents under category .....

The application is made by me/us on behalf of .....(Group firms) in the capacity of.....duly authorized to submit the offer.

The necessary evidence admissible in law in respect of authority to me/us on behalf of the group of firms for applying and for completion of the contract document is attached herewith.

I / We understand that U.P. Projects Corporation Ltd. reserves the right to reject any application without assigning any reason.

I / We undertake that all the information furnished by me/us in the offer are true to the best of my / our knowledge and belief. If any of the information are found to be false on subsequent verification, I/We undertake that I/We may be excluded from the approved panel and not to consider my / our offer for any work and the security deposit be forfeited.

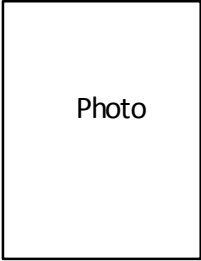
AUTHORISED SIGNATORY  
(Name and Designation)

Encl:

1. Letter of authority.
2. Application form along with enclosures.

**Annexure-1**

**APPLICATION FOR EMPANELMENT OF QUALITY MANAGEMENT CONSULTANTS:**

S.No	Item	Details
1	Category for Empanelment applied	
2	Name of the Consultant	: .....
3	Address(FULL)	: ..... ..... .....
4	Contact Person authorized to act for the organization  Name  Designation  Qualification	: 
	ii) Phone No	
	iii) Mobile No	
	iv) Fax	
	v) E-mail	
	VI) Website if any	

5	<b>Application is requested as .</b> <ul style="list-style-type: none"> <li>▪ An Individual</li> <li>▪ A proprietary Firm</li> <li>▪ A Firm in partnership</li> <li>▪ A Private Limited Company</li> <li>▪ A Limited Company or Corporation</li> <li>▪ A Joint Venture Company</li> </ul>	:	.....
6	Documentary evidence to be furnished as a proof of entity and date of start of operation as Consultancy business in the category as mentioned in Row no 5 above	:	.....
7	PAN No ( Furnish a Photocopy)		
8	Service Tax Registration Number ( Furnish a Photocopy)		
9	MSME Registration Number ( Furnish a Photocopy)		
10	EPF Account Number ( Furnish a Photocopy)		
11	ESIC Account Number ( Furnish a Photocopy)		
12	ISO Certification ( Furnish a Photocopy)		
13	Details of Registration as Consultant with other Government Organizations		

**14. Available Infrastructure**

<b>S. No.</b>	<b>Name Of Equipment</b>	<b>Type</b>	<b>Nos.</b>
1	Head Office with Address		
	Covered space in Sq.mts.		
2	Branch Office(s), if any, with Address		
3	Quality Control Lab , if any, Address		
4	List of the main Lab equipments available		
5	Whether Mobile Labs are available, if Yes their number and places of Deployment.		
6	List of the Survey Equipments available		
7	Available hardware including computer and printers, plotters etc.		

**SIGNATURE OF AUTHORIZED PERSON WITH SEAL**

**Note :- In Case of Joint Venture the above application filled by both the applicants separately giving their individual details shall also be enclosed with the main format which shall be signed by the Lead Partner.**

**Annexure-2**

**DETAILS OF TECHNICAL MAN POWER AVAILABLE**

Sl No	Name of Employee	Designation	Educational Qualification	Total Experience	Working for applicant w.e.f.	Copy of TDS Certificate attached or Not

**Note :- In Case of Joint Venture the above format filled by both the applicants separately giving their individual details has to be submitted.**

**Annexure- 3**

**FINANCIAL STATEMENT**

1	Name of Consultants (partner in case of Joint Venture/Consortium)				
2	Capital				
	a. Authorized				
	b. Paid up				
3#	Annual income during the last three years and projected for the current year.				
		Current year	One year before	Two years before	Three years before
	INDIA				
	ABROAD				

# Attach copies of Financial Statements.

**Note :- In Case of Joint Venture the above format filled by both the applicants separately giving their individual details has to be submitted.**

**Annexure- 4**

**DETAILS OF QUALITY CONTROL CONSULTANCY WORK DONE IN LAST 3 YEARS  
To be supported by Completion Certificate issued by Employer & supported by TDS  
Certificates**

S. No	Brief Description Of Work	Name of Client	Construction Cost in Crores	Consultancy Fee Received in Lacs	Period of Completion		Supporting Certificate attached or not
					From	To	

**Note :- In Case of Joint Venture the above format filled by both the applicants separately giving their individual details has to be submitted.**

**Annexure- 5**

**DETAILS OF ONGOING QUALITY CONTROL CONSULTANCY WORKS  
To be supported by photocopy of Work Order / Supply Order / Agreement**

S. No	Brief Description Of Work	Name of Client	Construction Cost in Crores of Rs	Anticipated Consultancy Fee in Lacs of rs.	Anticipated Period of Completion		Present Status
					From	To	

**Note :- In Case of Joint Venture the above format filled by both the applicants separately giving their individual details has to be submitted.**